

**State of California
Office of Administrative Law**

In re:

California Pollution Control Financing
Authority

Regulatory Action:

Title 4, California Code of Regulations

Adopt sections:

Amend sections: 8072

Repeal sections:

NOTICE OF APPROVAL OF CERTIFICATE OF
COMPLIANCE

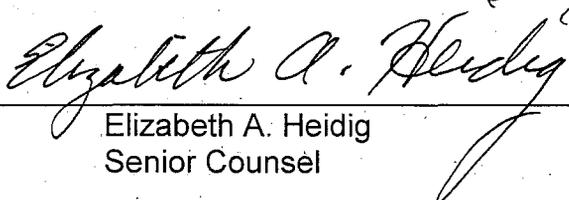
Government Code Section 11349.1 and
11349.6(d)

OAL File No. 2013-0703-04 C

The California Pollution Control Financing Authority (Authority) submitted this Certificate of Compliance amending two provisions of section 8072 pertaining to the Capital Access Program for Small Businesses under title 4, division 11, Article 7 of the California Code of Regulations. Legislation that became effective on January 1, 2013, requires financial institutions to notify the Authority within 15 days after the date on which the loan is made of certain matters, such as interest rate, dollar amount of loan, disbursement, etc. The regulation previously required this to be done in 10 days. This amendment will conform the regulation to be consistent with the statute. In addition, the Authority is also amending the time period within which they respond to the application for enrollment of the qualified loan. The Authority will have 15 days after receipt of the documentation and fees to determine whether a loan shall be enrolled (The regulations previously allowed for 10 days.).

OAL approves this regulatory action pursuant to section 11349.6(d) of the Government Code.

Date: 7/22/2013


Elizabeth A. Heidig
Senior Counsel

For: DEBRA M. CORNEZ
Director

Original: Renee Webster-Hawkins
Copy: Jillian Franzoia

STD. 400 (REV. 01-2013)

OAL FILE NUMBERS	NOTICE FILE NUMBER Z-2013-0430-02	REGULATORY ACTION NUMBER 2013-0703-04C	EMERGENCY NUMBER
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ENDORSED FILED
IN THE OFFICE OF

2013 JUL 22 PM 3:22

Debra Bowen
DEBRA BOWEN
SECRETARY OF STATE

For use by Office of Administrative Law (OAL) only

2013 JUL -3 PM 2:09

OFFICE OF ADMINISTRATIVE LAW

NOTICE	REGULATIONS
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AGENCY WITH RULEMAKING AUTHORITY
California Pollution Control Financing Authority

AGENCY FILE NUMBER (if any)

A. PUBLICATION OF NOTICE (Complete for publication in Notice Register)

1. SUBJECT OF NOTICE	TITLE(S)	FIRST SECTION AFFECTED	2. REQUESTED PUBLICATION DATE
3. NOTICE TYPE <input type="checkbox"/> Notice re Proposed Regulatory Action <input type="checkbox"/> Other	4. AGENCY CONTACT PERSON	TELEPHONE NUMBER	FAX NUMBER (Optional)
OAL USE ONLY <input type="checkbox"/> Approved as Submitted <input type="checkbox"/> Approved as Modified <input type="checkbox"/> Disapproved/Withdrawn	NOTICE REGISTER NUMBER 2013-19-2	PUBLICATION DATE 5-10-2013	

B. SUBMISSION OF REGULATIONS (Complete when submitting regulations)

1a. SUBJECT OF REGULATION(S) Capital Access Program for Small Businesses	1b. ALL PREVIOUS RELATED OAL REGULATORY ACTION NUMBER(S) 2013-0201-01E
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2. SPECIFY CALIFORNIA CODE OF REGULATIONS TITLE(S) AND SECTION(S) (including title 26, if toxics related)

SECTION(S) AFFECTED (List all section number(s) individually. Attach additional sheet if needed.)	ADOPT
	AMEND Title 4 Division 11, Article 7, Section 8072
TITLE(S) 4	REPEAL

3. TYPE OF FILING

<input type="checkbox"/> Regular Rulemaking (Gov. Code §11346)	<input checked="" type="checkbox"/> Certificate of Compliance: The agency officer named below certifies that this agency complied with the provisions of Gov. Code §§11346.2-11347.3 either before the emergency regulation was adopted or within the time period required by statute.	<input type="checkbox"/> Emergency Readopt (Gov. Code, §11346.1(h))	<input type="checkbox"/> Changes Without Regulatory Effect (Cal. Code Regs., title 1, §100)
<input type="checkbox"/> Resubmittal of disapproved or withdrawn nonemergency filing (Gov. Code §§11349.3, 11349.4)	<input type="checkbox"/> Resubmittal of disapproved or withdrawn emergency filing (Gov. Code, §11346.1)	<input type="checkbox"/> File & Print	<input type="checkbox"/> Print Only
<input type="checkbox"/> Emergency (Gov. Code §11346.1(b))		<input type="checkbox"/> Other (Specify)	

4. ALL BEGINNING AND ENDING DATES OF AVAILABILITY OF MODIFIED REGULATIONS AND/OR MATERIAL ADDED TO THE RULEMAKING FILE (Cal. Code Regs. title 1, §44 and Gov. Code §11347.1)

5. EFFECTIVE DATE OF CHANGES (Gov. Code, §§ 11343.4, 11346.1(d); Cal. Code Regs., title 1, §100)

<input type="checkbox"/> Effective January 1, April 1, July 1, or October 1 (Gov. Code §11343.4(a))	<input checked="" type="checkbox"/> Effective on filing with Secretary of State	<input type="checkbox"/> \$100 Changes Without Regulatory Effect	<input type="checkbox"/> Effective other (Specify)
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6. CHECK IF THESE REGULATIONS REQUIRE NOTICE TO, OR REVIEW, CONSULTATION, APPROVAL OR CONCURRENCE BY, ANOTHER AGENCY OR ENTITY

<input type="checkbox"/> Department of Finance (Form STD. 399) (SAM §6660)	<input type="checkbox"/> Fair Political Practices Commission	<input type="checkbox"/> State Fire Marshal
<input type="checkbox"/> Other (Specify)		

7. CONTACT PERSON Jillian Franzoia	TELEPHONE NUMBER (916) 653-3993	FAX NUMBER (Optional)	E-MAIL ADDRESS (Optional) jfranzoia@treasurer.ca.gov
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8. I certify that the attached copy of the regulation(s) is a true and correct copy of the regulation(s) identified on this form, that the information specified on this form is true and correct, and that I am the head of the agency taking this action, or a designee of the head of the agency, and am authorized to make this certification.

SIGNATURE OF AGENCY HEAD OR DESIGNEE <i>Renee Webster-Hawkins</i>	DATE 6/28/2013
TYPED NAME AND TITLE OF SIGNATORY Renee Webster-Hawkins, Executive Director	

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ENDORSED APPROVED

JUL 22 2013

Office of Administrative Law